

Article

## Invertebrate diversity classification using self-organizing map neural network: with some special topological functions

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Received 16 March 2014; Accepted 10 April 2014; Published online 1 June 2014



### Abstract

In present study we used self-organizing map (SOM) neural network to conduct the non-supervisory clustering of invertebrate orders in rice field. Four topological functions, i.e., cossintopf, sincostopf, acossintopf, and expsintopf, established on the template in toolbox of Matlab, were used in SOM neural network learning. Results showed that clusters were different when using different topological functions because different topological functions will generate different spatial structure of neurons in neural network. We may chose these functions and results based on comparison with the practical situation.

**Keywords** self-organizing map (SOM) neural network; topological functions; Matlab; cluster analysis; invertebrates.

#### Selforganizology

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RSS: <http://www.iaees.org/publications/journals/selforganizology/rss.xml>

E-mail: [selforganizology@iaees.org](mailto:selforganizology@iaees.org)

Editor-in-Chief: WenJun Zhang

Publisher: International Academy of Ecology and Environmental Sciences

### 1 Introduction

Invertebrate diversity in the farmland is always a research focus for its important role in maintaining natural equilibrium (Brown, 1991; Kremen et al., 1993; Way and Heong, 1994; Zhang and Barrion, 2006). We always understand invertebrate diversity by field sampling. However, sampling information is overall non-linear and could not be treated by linear or parametric statistic methods (Maravelias et al., 2003). Artificial intelligence is usually used to learn knowledge from complex information systems (Zhang and Li, 2006; Zhang, 2007a, b; Zhang et al., 2007; Zhang et al., 2008a, b; Zhang and Zhang, 2008; Zhang, 2010, 2011, 2012). They are paralleled and distributed models. Knowledge and information are stored and distributed in the weights of neural networks through learning from samples (Bian and Zhang, 2000). In the artificial neural network, the structure of neurons may be defined in different ways. The topological function is one of the important ways. Topological functions are used to generate spatial and topological structure of neurons. Different choices of various topological functions would result in different results in neural network learning.

In present study we use self-organizing map (SOM) neural network to conduct the non-supervisory

clustering of invertebrate orders in rice field. Several topological functions are used in SOM neural network learning (Zhang and Li, 2006). We can choose these functions and results based on the comparison with the practical situation.

## 2 Materials and Methods

### 2.1 Sampling data

Invertebrate biodiversity in four periods were investigated in the rice fields of IRRI (International Rice Research Institute). Rice invertebrates were collected by a sampler and 60 samples were surveyed. Invertebrates were identified, sorted, and counted. The raw data were lumped using LUMP (Schoenly and Zhang, 1999) and the data for invertebrate orders (or the equivalent taxa, abbreviated in the following) were obtained. There were totally 21 orders.

### 2.2 Topological functions

Four topological functions were used, which were established on the template of topological function, mytopf, in Matlabneural network toolkit (Fecit, 2003), as indicated in the following:

cossintopf, major mathematical function:  $\cos(\sin(cx))$ ;  
 sincostopf, major mathematical function:  $\sin(cx) + \cos(cx)$ ;  
 acossintopf, major mathematical function:  $\text{acos}(\sin(cx))$ ;  
 expsintopf, major mathematical function:  $e^{\sin(cx)}$ .

Their Matlab codes are summarized as below.

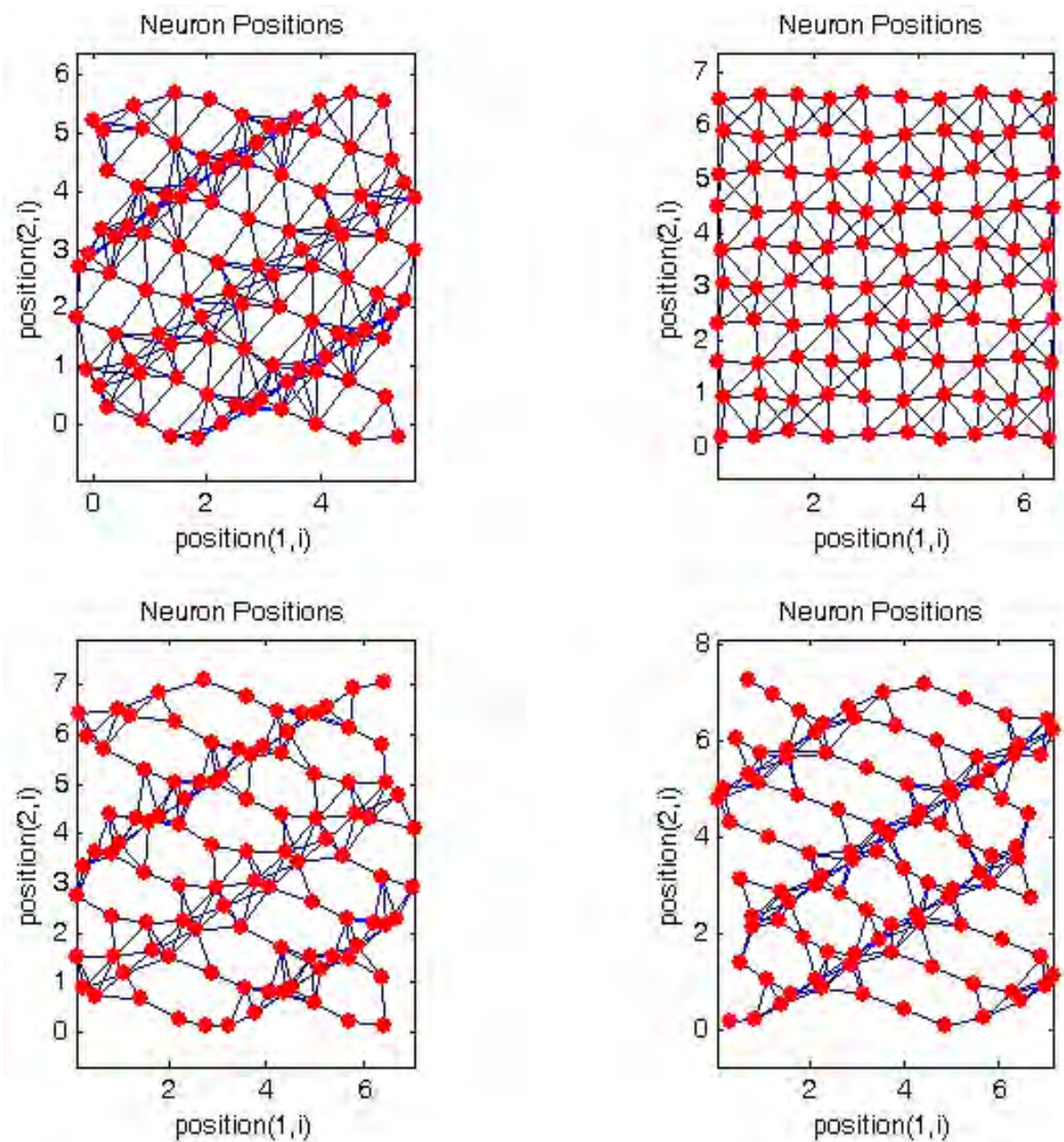
```
%Topological function cossintopf
function pos=cossin(varargin)
%Custom topology function.
% Syntax
% pos = cossin(dim1,dim2,...,dimN)
% dimi - number of neurons along the ith layer dimension
% pos - NxS matrix of S position vectors, where S is the
% total number of neurons which is defined by the
% product dim1*dim1*...*dimN.

%Example
% pos = cossin(20,20);
% plotsom(pos)
% $Revision: 1.2 $
dim = [varargin{:}]; % The dimensions as a row vector
size = prod(dim); % Total number of neurons
dims = length(dim); % Number of dimensions
pos = zeros(dims,size); % The size that POS will need to be set
len = 1;
pos(1,1) = 0;
for i=1:length(dim)
    dimi = dim(i);
    newlen = len*dimi;
    pos(1:(i-1),1:newlen) = pos(1:(i-1),rem(0:(newlen-1),len)+1);
```

```

posi = 0:(dimi-1);
pos(i,1:newlen) = posi(floor((0:(newlen-1))/len)+1);
len = newlen;
end
for i=1:length(dim)
    pos(i,:)=pos(i,:)*0.7+cos(sin([1:size]*exp(1)/5*i))*0.3;
end
end

```



**Fig. 1** Two-dimensional topological structures of neurons, generated from various topological functions. Upper left: sincostopf; upper right: cossintopf; lower left: expsintopf; Lower right: acossintopf.

### 2.3 Source codes

```
%Topological function sincostopf
%The source codes are the same as in 2.2
for i=1:length(dim)
pos(i,:)=pos(i,:)*0.6+sin([1:size]*exp(1)/5*i)*0.2+cos([1:size]*exp(1)/5*i)*0.2;
end

%Topological function acossintopf
% The source codes are the same as in 2.2
for i=1:length(dim)
    pos(i,:)=pos(i,:)*0.7+acos(sin([1:size]*exp(1)/5*i))*0.3;
end

%Topological function expsintopf
% The source codes are the same as in 2.2
for i=1:length(dim)
    pos(i,:)=pos(i,:)*0.7+exp(sin([1:size]*exp(1)/5*i))*0.3;
end
```

Topological structures generated from four topological functions are different, as illustrated in Fig. 1. Various topological functions demonstrated their major differences in types of neuron connection, resulted from various mathematical functions in topological structures. For example, in the two-dimensional topological structures of Fig. 1, topological functions `cossintopf` and `sincostopf` are significantly different from the other two functions, i.e., each neuron has not less than two connections for `cossintopf` and `sincostopf`, however, in other two functions there are neurons with only one connection. Both the number of neuron connections and connected neurons for the given neuron are different among four topological functions.

### 2.4 Self-organizing map neural network

The four topological functions were used to generate topological structures of neurons in self-organizing map (SOM) neural network, which can be used for the unsupervised self-organization study of two-dimensional SOM. Matlab codes are listed as follows:

```
P = MarOIDs(:,:);
P = P';
%Generate neural network
net = newsom(minmax(P),[8 8]); %Set 8*8 neurons
net.layers{1}.topologyFcn = 'cossintopf'; %Set cossintopf as the topological function
%Obtain connectivity weights
winit = net.iw{1,1};
%Train neural network
net.trainParam.epochs = 1000; %Set training epochs=1000
net = init(net);
net = train(net,P);
%Obtain connectivity weights trained
w = net.iw{1,1}
%Input sample vector and updated weights
```

```

cluster = 0;
for i = 1:size(P,2);
cluster = vec2ind(sim(net,P(:,i)));
outputclass(1,i) = i;
outputclass(2,i) = cluster;
end
outputclass

```

### 3 Results

Different from the classification of functional groups in which the supervised clustering may be used, there are diverse and complex relationships between different sub-classification taxa in the same order (Schoenly and Zhang, 1999). For example, there are predators, preys, and competitors in Coleoptera. Therefore, it is reasonable to use unsupervised clustering at the order level, in order to reflect between-order relationships.

**Table 1** Self-organizing cluster analysis of rice invertebrates using various topological functions.

Order	sinco stopf				cossi ntopf				acossi ntopf				exps intopf				Default			
	Mar	Apr	Sep	Oct	Mar	Apr	Sep	Oct	Mar	Apr	Sep	Oct	Mar	Apr	Sep	Oct	Mar	Apr	Sep	Oct
Lepidoptera	5	10	9	34	17	57	48	18	51	7	8	3	53	59	62	43	47	49	10	55
Ephemeroptera	4	19	10	17	41	33	38	9	57	11	20	1	59	35	55	57	32	59	33	64
Hemiptera	64	64	64	64	64	8	1	58	1	63	57	57	9	16	5	16	1	64	64	1
Orthoptera	4	1	34	8	25	43	54	31	57	5	48	21	59	53	45	61	23	50	13	60
Hymenoptera	10	36	4	28	1	37	63	34	41	26	32	10	64	38	59	36	63	38	20	54
Diptera	24	24	8	46	45	64	58	62	7	33	56	33	22	41	27	40	29	5	7	20
Odonata	3	34	4	1	35	25	63	25	59	20	40	1	61	45	35	58	46	53	20	63
Coleoptera	26	39	48	30	5	40	49	64	37	49	43	35	39	27	21	21	53	32	23	29
Araneae	44	48	36	16	12	30	52	38	27	57	26	25	24	21	40	27	43	16	45	44
Dermaptera				33				9				1			57					64
Strepsiptera			18	33			31	9			8	1		64	57			1		64
Acari	17	16	2	11	3	61	64	20	33	32	16	13	48	48	53	51	62	28	4	39
Neuroptera			18				31				8			64					1	
Thysanoptera	4	10		17	25	57		1	57	7		1	59	59		57	23	49		64
Uniden. order	48	17	24	32	31	41	33	8	18	13	63	8	27	51	17	48	20	57	16	8
Isoptera			17	9			24	1			7	1		63	57			9		64
Mesogastropoda	16	57	57	57	8	10	8	49	64	1	10	56	41	1	41	1	8	1	57	57
Arthropleona	2	4	1	4	9	59	14	5	50	8	5	24	54	62	48	59	56	35	17	32
Blattodea	4		27	18	25		31	3	57		8	2	59		64	41	23		1	48
Cyproida	57	10	3		57	57	28		32	7	30		16	59	59		57	49	35	
Siphonaptera	21	3		18	49				61	15			51	64			48	33		

“Default” means default setting of topological function in Matlab. The values mean the response neurons, i.e., the categories which orders belong to.

Using the SOM with different topological functions as described above, and with other default functions in the SOM of Matlab, a self-organizing unsupervised clustering was conducted on invertebrate orders based on the aforementioned source codes. The results for the neural networks with four topological functions and default functions were obtained (Table 1), and summarized as follows:

(1) March

Using topological function sincostopf: (Ephemeroptera, Orthoptera, Thysanoptera, Blattodea), the rest of the orders were of the same category;

Using topological function cossintopf: (Ephemeroptera, Orthoptera, Thysanoptera, Blattodea), the rest of the orders were of the same category;

Using topological function acossintopf: (Ephemeroptera, Orthoptera, Thysanoptera, Blattodea), the rest of the orders were of the same category;

Using topological function expsintopf: (Ephemeroptera, Orthoptera, Thysanoptera, Blattodea), the rest of the orders were of the same category;

System default function: (Orthoptera, Thysanoptera, Blattodea), the rest of the orders were of the same category.

(2) April

Using topological function sincostopf: (Lepidoptera, Thysanoptera, undetermined order), the rest of the orders were of the same category;

Using topological function cossintopf: (Lepidoptera, Thysanoptera, undetermined order), the rest of the orders were of the same category;

Using topological function acossintopf: (Lepidoptera, Thysanoptera, undetermined order), the rest of the orders were of the same category;

Using topological function expsintopf: (Lepidoptera, Thysanoptera, undetermined order), the rest of the orders were of the same category;

System default function: (Lepidoptera, Thysanoptera, undetermined order), the rest of the orders were of the same category.

(3) September

Using topological function sincostopf: (Hymenoptera, Odonata), (Strepsiptera, Neuroptera), the rest of the orders were of the same category;

Using topological function cossintopf: (Hymenoptera, Odonata), (Strepsiptera, Neuroptera), the rest of the orders were of the same category;

Using topological function acossintopf: (Lepidoptera, Strepsiptera, Neuroptera, Blattodea), the rest of the orders were of the same category;

Using topological function expsintopf: (Hymenoptera, undetermined order), (Strepsiptera, Neuroptera, Blattodea), the rest of the orders were of the same category;

System default: (Hymenoptera, Odonata), (Strepsiptera, Neuroptera, Blattodea), the rest of the orders were of the same category.

(4) October

Using topological function sincostopf: (Ephemeroptera, Thysanoptera), (Dermaptera, Strepsiptera), the rest of the orders were of the same category;

Using topological function cossintopf: (Ephemeroptera, Dermaptera, Strepsiptera), the rest of the orders were of the same category;

Using topological function acossintopf: (Ephemeroptera, Odonata, Dermaptera, Strepsiptera, Thysanoptera, Blattaria), the rest of the orders were of the same category;

Using topological function expsintopf: (Ephemeroptera, Dermaptera, Strepsiptera, Thysanoptera, Blattaria), the rest of the orders were of the same category;

System default function: (Ephemeroptera, Dermaptera, Strepsiptera, Thysanoptera, Blattaria), the rest of the orders were of the same category.

It can be founded that the general trends from various classifications are similar to each other. However, the results between four topological functions and between four topological functions and the default function are somewhat different.

#### 4 Conclusions and Discussion

In the SOM, different topological functions can be set and unsupervised self-organizing clustering may thus be conducted. The same results may be used but the classification differences should be analyzed for further analysis. The biological meanings for these differences may be explored to find some principles and mechanisms. It is suggested that two principles, i.e., topological connectivity and topological symmetry of topological structure (Lin, 1998) in the neural network, should be followed in the establishment of topological functions.

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